



Certificate Under 37 C.F.R. § 3.73(b)

Applicant: LYNCH et al.

Application No.: 09/961,381

Filed: September 25, 2001

Entitled: Model for Neurodegenerative Diseases Involving Amyloid Accumulation

The Regents of the University of California

(Name of Assignee)

, a California corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest, or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An Assignment from the inventor(s) of U.S. Provisional Patent Application No. 60/235,374 filed September 25, 2000 to which U.S. Application No. 09/961,381 claims benefit of priority. The assignment was recorded in the Patent and Trademark Office at Reel 011421, Frame 0004, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

Date: December 17, 2001

Name: Linda S. Stevenson

Title: Manager, Patent Prosecution

Signature: Linda S. Stevenson

1819.0040601/MAC/LBB

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KGF Rev 3/31/00 mac



POWER OF ATTORNEY FROM ASSIGNEE

The Regents of the University of California, a corporation of California, having a principal place of business at 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, is assignee of the entire right, title and interest for the United States of America (as defined in 35 U.S.C. § 100) of an invention known as Model for Neurodegenerative Disease Involving Amyloid Accumulation (Attorney Docket No.: 1819.0040001/MAC/LBB), which is disclosed and claimed in a patent application of the same title by the inventors 1) Gary L. LYNCH, 2) Xiaoping BI and 3) Christine M. GALL (said application filed on September 25, 2001 at the U.S. Patent and Trademark Office, having Application Number 09/961,381) by reason of an Assignment to the Assignee executed on 1) December 3, 2000 2) December 3, 2000 3) December 3, 2000 in U.S. Application No. 60/235,374 filed on September 25, 2000 to which U.S. Application No. 09/961,381 claims benefit of priority.

The Assignee hereby appoint the U.S. attorneys associated with **CUSTOMER NUMBER 28393** to prosecute this application and any continuation, divisional, continuation-in-part, or reissue application thereof, and to transact all business in the U.S. Patent and Trademark Office connected therewith, such attorneys currently being: Robert Greene Sterne, Esq., Reg. No. 28,912; Edward J. Kessler, Esq., Reg. No. 25,688; Jorge A. Goldstein, Esq., Reg. No. 29,021; David K.S. Cornwell, Esq., Reg. No. 31,944; Robert W. Esmond, Esq., Reg. No. 32,893; Tracy-Gene G. Durkin, Esq., Reg. No. 32,831; Michele A. Cimbal, Esq., Reg. No. 33,851; Michael B. Ray, Esq., Reg. No. 33,997; Robert E. Sokohl, Esq., Reg. No. 36,013; Eric K. Steffe, Esq., Reg. No. 36,688; Michael Q. Lee, Esq., Reg. No. 35,239; Steven R. Ludwig, Esq., Reg. No. 36,203; John M. Covert, Esq., Reg. No. 38,759; and Linda E. Alcorn, Esq., Reg. No. 39,588. The Assignee hereby grants said attorneys the power to insert on this Power of Attorney any further identification that may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office.

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FOR: The Regents of the University of California
SIGNATURE: Linda S. Stevenson
BY: Linda S. Stevenson
TITLE: Manager, Patent Prosecution
DATE: December 17, 2001

TTC Attorney Docket No. 02307E-115100

ASSIGNMENT

U.C. Case No.: 2001-100-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Gary Lynch 2. Xiaoning Bi
3. Christine M. Gall

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

IN VITRO MODEL FOR NEURODEGENERATIVE DISEASES INVOLVING AMYLOID ACCUMULATIONS

and which is found in

- (a) ☐ U.S. provisional application filed herewith and listing the above-named persons as inventors
(b) ☐ U.S. patent application filed herewith and listing the above-named persons as inventors
(c) ☒ U.S. application serial no. 60/235,374, filed on September 25, 2000
(d) ☐ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

3 day of DECEMBER, 2000

3 day of DECEMBER, 2000

3 day of December, 2000

Signature of Inventor(s)

Gary Lynch
Xiaoning Bi
Christine Gall